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| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | Application Number | 10/649,495 |
| | Filing Date | 08/27/2003 |
| | First Named Inventor | Wu et al. |
| | Art Unit | 1625 |
| | Examiner Name | To Be Assigned |
| | Attorney Docket Number | CN01622 |
| Total Number of Pages in This Submission | 5 | |

| ENCLOSURES (Check all that apply) | | |
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | | | |
|-------------------------|----------------------------------|--|--|
| Firm or Individual name | William Y. Lee, Reg. No. 46, 100 | | |
| Signature | | | |
| Date | 02/12/2004 | | |

CERTIFICATE OF TRANSMISSION/MAILING

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| Typed or printed name | William Y. Lee, Reg. No. 46, 100 | | |
| Signature | | Date | 02/12/2004 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PATENT CASE: CN01622

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re Application of: **Wu et al.**

Examiner: To Be Assigned

For Patent: **Selective D1/D5 Receptor
Antagonists for the Treatment of Obesity
and CNS Disorders**

Date: February 12, 2004

Serial No.: **10/649,495**

Group Art Unit: 1625

Filed: **08/27/2003**
-----X

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to Rule 56, it is requested that the documents listed on the accompanying PTO-1449 Form be considered and made of record in the above-identified patent application. Copy(ies) of these references ☐ are attached ☒ were filed in related application U.S. Serial No(s) _____ filed _____, respectively.

(b) No fee is believed due because:

- ☐ This Information Disclosure Statement is being filed within three (3) months of the filing date of the application.
- ☐ This Information Disclosure Statement is being concurrently filed with the above-identified application.
- ☐ This Information Disclosure Statement is being concurrently filed with a Request for Continued Examination (RCE).
- ☒ This Information Disclosure Statement is being filed prior to the mailing of a first Office Action on the merits.

(c) ☐ This Information Disclosure Statement is being filed before the mailing date of any final action, notice of allowance or an action that otherwise closes prosecution; and

- ☐ Each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or
- ☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement; or

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☒ The Commissioner is hereby authorized to charge any additional fees which may be required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 19-0365, Patent Case No. CN01622. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

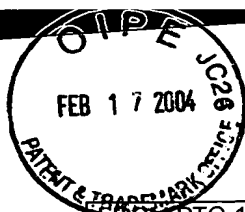
Respectfully submitted,

SCHERING-PLOUGH CORPORATION

Dated: 02/12/2004
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| FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use several sheets if necessary)</i> | ATTY. DOCKET NO.: CN01622 | APPLICATION NO.: 10/649,495 |
| | APPLICANT: Wu et al. | |
| | FILING DATE: 08/27/2003 | GROUP: 1625 |

| U.S. PATENT DOCUMENTS | | | | | | | |
|-----------------------|----|-----------------|------|------|-------|-----------|----------------------------|
| *EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB-CLASS | FILING DATE IF APPROPRIATE |
| | AA | | | | | | |
| | AB | | | | | | |
| | AC | | | | | | |
| | AD | | | | | | |
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| FOREIGN PATENT DOCUMENTS | | | | | | | |
|--------------------------|----|-----------------|----------|---------|-------|-----------|-----------------------|
| | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB-CLASS | TRANSLATION YES NO |
| | AL | EP0351733A | 01/24/90 | EUROPE | | | |
| | AM | EP0230270A | 07/29/87 | EUROPE | | | |
| | AN | WO 03/006466 | 01/23/03 | PCT | | | |
| | AO | | | | | | |
| | AP | | | | | | |

| OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i> | |
|---|---|
| AQ | Hollander, <i>et al.</i> , J. Clin. Psychiatry 57 (Suppl. 8), pp.3-6 (1996) |
| AR | Phillips, J. Clin. Psychiatry 57 (Suppl. 8), pp 61-64 (1996) |
| AS | Merck Index, 15 th Edition (1987), page 2280-2281 |
| AT | Christenson, Gary; O'Sullivan Richard, Trichotillomania: Rational treatment options, CNS Drugs (1996), 6(1), 23-34; |
| AU | Tukel R; Keser V; Karali NT; Olgun TO; Calikusu C., Comparison of clinical characteristics in trichotillomania and obsessive-compulsive disorder, Journal of Anxiety Disorders (2001 Sept-Oct), 15(5), 433-441; |
| AV | Du Toit P L; van Kradenburg J; Niehaus D J; Stein D J, Characteristics and phenomenology of hair-pulling: an exploration of subtypes, Comprehensive Psychiatry (2001 May-Jun), 42(3), 247-256. |
| AW | International Search Report for PCT/US 03/26878 (CN01622), international filing date 08/27/2003, 5 Pages |

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| EXAMINER | DATE CONSIDERED |
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.